

9 January 2020

Report 5**TITLE OF REPORT: Mainstream Schools Funding**

Purpose of the Report

To bring to Schools Forum the proposed Authority Proforma Tool (APT) for submission of Gateshead Schools funding factors and values to the DfE for approval, to enable the calculation of mainstream schools' individual school budgets for 2020/21.

Background

This report builds on reports to Schools Forum in November and December 2019 and previous agenda items.

Gateshead received the APT on the 18 of December 2019 and its Dedicated Schools Grant (DSG) funding settlement on 19 December 2019. Since receipt of the APT and the settlement, work has been undertaken to calculate mainstream individual school budgets.

The Schools and Early Years Finance Regulations for 2020 have not been issued but the Schools Revenue Funding 2020/21 – Operational Guidance, updated in December 2019 are available on the DfE's website.

The schools block (SB) allocation of funding for 2020/21 is £115,718m which is calculated:

- Primary pupil numbers * Primary Unit of Funding (PUF) 14,964 x £4,264 = £63.806m
- Secondary pupil numbers * Secondary Unit of Funding (SUF) 8,925.5 x £5,230.3 = £46,683m
- Plus £4.253m for premises and mobility factors
- The growth funding for 2020/21 is £0.975m

Therefore, the amount available to fund Gateshead mainstream schools in 2020/21 is £115.718m, an increase of £5.467m from 2019/20.

Schools Forum agreed the 0.5% top slice of the SB at December 2019 Schools Forum which is £0.579m which reduces the amount to be allocated to mainstream schools to £115.140m

This amount of funding has been used to calculate Gateshead mainstream schools' individual schools' budgets using the APT based on the agreed methodology and formula factors proposed.

A number of inputs and adjustments were made to the APT prior to modelling. These included: -

- Input of estimated rates charges for 2020/21 and adjustments for actual rates charges for 2019/20 in comparison to the amount funded for 2019/20. There have been some swings in rates charges due to revaluations of school premises.
- All schools including voluntary aided and voluntary controlled schools will pay rates, as the rate relief has been reduced from 100% to 80% for these schools.
- The DfE has not yet issued the updated rates data for academies.
- The PFI factor was re-calculated to take into account the changes in pupil numbers and updated PFI charges for 2020/21.
- De-delegation has been input as agreed at December's Schools Forum.

Funding Pressures

There are a number of funding pressures for 2020/21 these are: -

- The increase in secondary pupils especially KS4 by 141 pupils, KS3 have increased by 162 and primary 33 pupils
 - PFI funding to schools has increased by £122k when the funding has decreased for premises factors by £259k
 - Increase in FSM and FSMe6 pupils
 - The increase in IDACI deprivation especially secondary bands A and B
- As the PUFs and SUFs are calculated using 2018 data there is a lag in the units of funding which does not take account of these changes.

Data Changes

The APT was received 18 December; and contains an updated data set for the calculation of the mainstream school budgets, predominantly based on the October 2019 census. The updated data set was checked against last year's APT data to review the changes in data. There were found to be no unexpected changes in data for individual schools.

Overall however there has been a significant increase in the number of current FSM children. Primary numbers have increased by 493 pupils and secondary by 414 pupils. For secondary school pupils there has also been an increase of 115 pupils in IDACI bands A and B.

Modelling

Due to a different distribution of pupils between the primary and secondary phases, and the updated data set, when the mainstream school budgets were calculated using the agreed Schools Forum factor values, the formula was not affordable, and there was a funding short fall of £593k. There was no capping or scaling and minimum funding guarantee (MFG) was at +1.84% with total MFG funding of £193K. As this formula was not affordable further modelling was undertaken.

For the second model a number of changes were made to bring the model into balance:-

- Primary FSM funding was reduced from the proposed £650 to £580 which is still above the NFF factor value of £560
- Secondary FSM factor value was reduced from the proposed £1,025 to £900 which is still above the NFF factor value of £815
- Primary IDACI A and B bands were reduced by £37 and £12 respectively to the NFF factor values of £840 for A and £625 for B

- Secondary IDACI band A was reduced by £37 to £840 which is the NFF factor value.

The above proposed changes brought the model into balance with a residual funding amount of £782 distributed as an additional £14 per school via the lump sum leaving £87 unallocated.

Appendix 1 is the proposed proforma

Appendix 2 shows the comparison between 2019/20 actual funding and the proposed funding before de-delegation using the updated data and the proposed factor values in appendix 1.

Appendix 3 shows 2019/20 factor values, the NFF factor values and the proposed factor values.

Proposal

That Schools Forum reviews the information and approves the factor values in appendix 1 and notes the information in appendix 2 and 3.

Recommendations

That Schools Forum approves the factor values in appendix 1 and notes the information in appendix 2 and 3.

For the following reasons: -

- To enable Gateshead mainstream schools funding to be calculated
- To enable the proforma to be submitted to the DfE within timescale
- To move Gateshead's mainstream schools funding formula towards the NFF.

CONTACT: Carole Smith Ext. 2747

Appendix 1

Local Authority Funding Reform Proforma

LA Name:	Gateshead
LA Number:	390

Primary minimum per pupil funding level	Secondary (KS3 only) minimum per pupil funding level	Secondary (KS4 only) minimum per pupil funding level	Secondary minimum per pupil funding level	Disapplication number where alternative MPPF values are used
£3,750	£4,800.00	£5,300.00	£5,000.00	

Pupil Led Factors

Description	Reception uplift	Pupil Units				Sub Total	Total	Proportion of total pre MFG funding (%)	Notional SEN (%)	
	No	Amount per pupil	Pupil Units	0.00	Primary				Secondary	
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)	Primary (Years R-6)	£2,857.00	14,964.00	£42,752,148	£80,455,631	37.27%	5.00%			
	Key Stage 3 (Years 7-9)	£4,018.00	5,548.00	£22,291,864		19.44%	5.00%			
	Key Stage 4 (Years 10-11)	£4,561.00	3,379.00	£15,411,619		13.44%	5.00%			
2) Deprivation	FSM	£450.00	£450.00	3,441.00	1,852.00	£2,381,850	10.83%	23.00%	19.00%	
	FSM6	£580.00	£900.00	4,282.57	2,887.48	£5,082,623		23.00%	19.00%	
	IDACI Band F	£210.00	£300.00	1,799.20	1,072.48	£699,576		0.00%	0.00%	
	IDACI Band E	£250.00	£405.00	1,745.24	1,003.08	£842,559		0.00%	0.00%	
	IDACI Band D	£375.00	£535.00	2,029.06	1,238.44	£1,423,460		0.00%	0.00%	
	IDACI Band C	£405.00	£580.00	565.43	302.06	£404,195		0.00%	0.00%	
	IDACI Band B	£435.00	£625.00	1,083.17	595.07	£843,102		23.00%	19.00%	
	IDACI Band A	£600.00	£840.00	724.99	372.63	£748,005		23.00%	19.00%	
3) Looked After Children (LAC)	LAC X March 19			176.77		£0	0.00%	0.00%		
4) English as an Additional Language (EAL)	EAL 3 Primary	£535.00		918.06		£491,163	0.61%	0.00%	0.00%	
	EAL 3 Secondary		£1,440.00		142.92	£205,802		0.00%	0.00%	
5) Mobility	Pupils starting school outside of normal entry dates	£1,500.00	£1,500.00	87.04	1.58	£132,930	0.12%	0.00%	0.00%	
6) Prior attainment	Primary Low Attainment		£1,065.00	31.74%	4,749.13	£5,057,819	6.69%	100.00%		
	Secondary low attainment (year 7)	64.53%		20.88%						
	Secondary low attainment (year 8)	63.59%		18.54%						
	Secondary low attainment (year 9)	58.05%	£1,610.00	17.03%	1,622.80	£2,612,701			100.00%	
	Secondary low attainment (year 10)	48.02%		17.91%						
	Secondary low attainment (year 11)			16.25%						

Other Factors

Factor	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Middle School (£)	Lump Sum per All-through School (£)	Total (£)	Proportion of total pre MFG funding (%)	Notional SEN (%)		
7) Lump Sum	£114,414.00	£114,414.00			£8,581,050	7.48%	0.00%	0.00%	
8) Sparsity factor					£0	0.00%	0.00%	0.00%	
Please provide alternative distance and pupil number thresholds for the sparsity factor below. Please leave blank if you want to use the default thresholds. Also specify whether you want to use a tapered lump sum or the NFF weighting for any of the phases.									
Primary distance threshold (miles)		Primary pupil number average year group threshold		Fixed, tapered or NFF sparsity primary lump sum?	Fixed				
Secondary distance threshold (miles)		Secondary pupil number average year group threshold		Fixed, tapered or NFF sparsity secondary lump sum?	Fixed				
Middle schools distance threshold (miles)		Middle school pupil number average year group threshold		Fixed, tapered or NFF sparsity middle school lump sum?	Fixed				
All-through schools distance threshold (miles)		All-through pupil number average year group threshold		Fixed, tapered or NFF sparsity all-through lump sum?	Fixed				
9) Fringe Payments					£0	0.00%			
10) Split Sites					£0	0.00%		0.00%	
11) Rates					£1,507,172	1.31%		0.00%	
12) PFI funding					£2,775,251	2.42%		0.00%	
13) Exceptional circumstances (can only be used with prior agreement of ESFA)									
Circumstance					Total (£)	Proportion of total pre MFG funding (%)	Notional SEN (%)		
Additional lump sum for schools amalgamated during FY19-20					£0	0.00%	0.00%	0.00%	
Additional sparsity lump sum for small schools					£0	0.00%		0.00%	
Exceptional Circumstance3					£0	0.00%		0.00%	
Exceptional Circumstance4					£0	0.00%		0.00%	
Exceptional Circumstance5					£0	0.00%		0.00%	
Exceptional Circumstance6					£0	0.00%		0.00%	
Exceptional Circumstance7					£0	0.00%		0.00%	

Total Funding for Schools Block Formula (excluding minimum per pupil funding level and MFG Funding Total)	£114,244,888	99.61%	
--	---------------------	---------------	--

14) Additional funding to meet minimum per pupil funding level	£449,797	0.39%	0.00%
Total Funding for Schools Block Formula (excluding MFG Funding Total)	£114,694,686	100.00%	

15) Minimum Funding Guarantee	1.84%	£294,730	
Where a value less than 0.5% or greater than 1.84% has been entered please provide the disapplication reference number authorising the value			
Apply capping and scaling factors? (gains may be capped above a specific ceiling and/or scaled)			
No			
Capping Factor (%)		Scaling Factor (%)	
Total deduction if capping and scaling factors are applied			
£0			
MFG Net Total Funding (MFG + deduction from capping and scaling)		£294,730	0.26%
Total Funding for Schools Block Formula		£114,989,416	£13,611,402
High Needs threshold (only fill in if, exceptionally, a high needs threshold different from £6,000 has been approved)			
Additional funding from the high needs budget			
Growth fund (if applicable)			
£150,000.00			
Falling rolls fund (if applicable)			
Other Adjustment to 19-20 Budget Shares			
£0			
Total Funding For Schools Block Formula (including growth and falling rolls funding)		£115,139,416	
% Distributed through Basic Entitlement		70.15%	
% Pupil Led Funding		88.39%	
Primary: Secondary Ratio		1 :	1.28

Appendix 2

School Name	19/20 NOR	20/21 NOR	Pupil Movement	MFG Adjustment	Post MFG Budget	19-20 Post MFG Budget	Diff in total funding
				£294,730.19	£114,989,415.83	£108,920,120	£6,069,295.88
Carr Hill Community Primary School	297	292	-5	£0.00	£1,447,179.88	£1,393,742	£53,438.16
Kelvin Grove Community Primary School	309	325	16	£0.00	£1,527,971.10	£1,415,679	£112,292.17
South Street Community Primary School	250	240	-10	£874.32	£1,164,167.27	£1,187,103	-£22,935.74
Bede Community Primary School	186	200	14	£27,004.24	£1,068,242.38	£986,784	£81,458.60
Oakfield Junior School	239	238	-1	£0.00	£904,122.88	£878,014	£26,109.20
Larkspur Community Primary School	106	104	-2	£0.00	£611,416.81	£595,079	£16,338.01
Oakfield Infant School	180	180	0	£0.00	£690,598.50	£677,790	£12,808.10
Ravensworth Terrace Primary School	309	329	20	£0.00	£1,281,630.00	£1,197,371	£84,258.91
Portobello Primary School	200	192	-8	£0.00	£777,398.71	£786,200	-£8,801.74
Birtley East Community Primary School	217	206	-11	£0.00	£962,526.78	£990,538	-£28,011.45
Dunston Hill Community Primary School	384	383	-1	£0.00	£1,761,048.92	£1,666,685	£94,364.11
Emmaville Primary School	351	386	35	£0.00	£1,464,316.75	£1,249,424	£214,893.19
High Spen Primary School	163	161	-2	£0.00	£724,181.90	£706,251	£17,930.74
Swalwell Primary School	155	136	-19	£11,071.73	£699,668.09	£767,063	-£67,394.51
Winlaton West Lane Community Primary School	347	364	17	£0.00	£1,460,417.55	£1,336,804	£123,613.80
Greenside Primary School	181	187	6	£57,964.77	£811,960.28	£778,021	£33,939.02
Blaydon West Primary School	164	168	4	£57,641.30	£781,559.09	£754,276	£27,283.21
Front Street Community Primary School	408	395	-13	£0.00	£1,526,862.00	£1,497,102	£29,760.06
Highfield Community Primary School	114	109	-5	£0.00	£672,924.24	£653,443	£19,481.70
Ryton Community Infant School	83	88	5	£0.00	£420,408.96	£391,214	£29,195.02
Ryton Junior School	164	155	-9	£0.00	£648,288.21	£660,653	-£12,364.47
Washingwell Community Primary School	125	120	-5	£0.00	£554,464.97	£561,509	-£7,044.04
Bill Quay Primary School	214	203	-11	£0.00	£816,939.99	£832,892	-£15,952.05
Falla Park Community Primary School	206	206	0	£0.00	£1,015,301.57	£979,161	£36,140.31
Brandling Primary School	160	171	11	£28,411.50	£885,532.79	£823,763	£61,769.92
Lingey House Primary School	397	411	14	£0.00	£1,701,931.09	£1,544,090	£157,841.27
The Drive Community Primary School	173	174	1	£0.00	£830,104.66	£793,106	£36,998.45
White Mere Community Primary School	143	139	-4	£0.00	£596,502.79	£595,371	£1,131.82
Clover Hill Community Primary School	206	207	1	£0.00	£800,473.90	£769,471	£31,002.43
Crookhill Community Primary School	192	189	-3	£0.00	£812,270.01	£795,001	£17,269.11
Brighton Avenue Primary School	299	292	-7	£38,651.16	£1,400,453.13	£1,406,117	-£5,664.16
Lobley Hill Primary School	387	379	-8	£0.00	£1,543,921.62	£1,494,610	£49,311.71
Wardley Primary School	265	264	-1	£0.00	£1,129,967.88	£1,073,155	£56,812.76
Glynwood Community Primary School	405	404	-1	£16,547.39	£1,642,010.20	£1,618,266	£23,744.17
Barley Mow Primary School	164	169	5	£3,546.56	£791,637.77	£760,889	£30,748.57
Windy Nook Primary School	279	294	15	£0.00	£1,183,451.99	£1,085,672	£97,779.66
Colegate Community Primary School	165	146	-19	£0.00	£699,387.76	£750,903	-£51,515.51
Roman Road Primary School	176	198	22	£0.00	£958,270.65	£823,665	£134,605.23
Fellside Community Primary School	210	211	1	£0.00	£811,258.25	£773,842	£37,416.36
Fell Dyke Community Primary School	274	268	-6	£4,996.48	£1,311,765.50	£1,316,132	-£4,366.40
Caedmon Community Primary School	206	210	4	£0.00	£1,002,708.71	£960,594	£42,115.10
Kells Lane Primary School	415	418	3	£0.00	£1,591,804.50	£1,476,600	£115,204.10
Chopwell Primary School	191	185	-6	£0.00	£897,837.60	£888,251	£9,586.12
Parkhead Community Primary School	318	302	-16	£0.00	£1,278,117.80	£1,299,449	-£21,330.76
St Aidan's Church of England Primary School	195	199	4	£0.00	£945,464.91	£898,397	£47,067.69
Harlow Green Community Primary School	377	379	2	£0.00	£1,779,304.69	£1,721,508	£57,796.81
Rowlands Gill Community Primary School	245	224	-21	£0.00	£1,235,096.19	£1,197,184	£37,912.22
Whickham Parochial Church of England Primary School	209	207	-2	£0.00	£791,839.25	£765,211	£26,628.10
Corpus Christi Catholic Primary School	201	198	-3	£0.00	£873,644.21	£866,254	£7,389.92
St Joseph's Roman Catholic Voluntary Aided Primary School, Gateshead	193	183	-10	£0.00	£826,321.68	£841,600	-£15,278.75
St Oswald's Roman Catholic Voluntary Aided Primary School	198	197	-1	£0.00	£890,583.66	£869,384	£21,200.03
St Peter's Roman Catholic Voluntary Aided Primary School	211	215	4	£0.00	£809,131.97	£780,248	£28,884.37
St Joseph's Catholic Junior School, Birtley	115	115	0	£0.00	£532,347.79	£518,882	£13,465.63
St Joseph's Catholic Infant School, Birtley	73	73	0	£0.00	£376,349.38	£366,402	£9,947.55
St Agnes' Catholic Primary School	200	203	3	£0.00	£764,883.59	£735,759	£29,125.04
St Joseph's Roman Catholic Voluntary Aided Primary School, Highfield	114	106	-8	£623.09	£465,090.54	£482,838	-£17,747.33

St Mary and St Thomas Aquinas Catholic Primary School	215	211	-4	£0.00	£793,962.69	£780,896	£13,066.84
St Philip Neri Roman Catholic Primary School	174	187	13	£0.00	£806,873.64	£739,011	£67,862.37
St Joseph's Catholic Primary School, Blaydon	210	210	0	£0.00	£845,708.65	£810,031	£35,677.60
St Mary's Roman Catholic Primary School	210	211	1	£0.00	£794,162.07	£775,765	£18,397.03
St Alban's Catholic Primary School	209	198	-11	£0.00	£818,643.65	£824,801	-£6,157.32
St Augustine's Catholic Primary School	310	315	5	£0.00	£1,290,910.59	£1,217,359	£73,551.54
St Wilfrid's Roman Catholic Voluntary Aided Primary School	122	127	5	£28,604.30	£668,878.90	£639,736	£29,142.59
Kingsmeadow Community Comprehensive School	739	807	68	£17,445.72	£5,517,093.54	£5,077,051	£440,042.27
Kibblesworth Academy	164	161	-3	£0.00	£682,814.91	£677,601	£5,214.19
Riverside Primary Academy	240	274	34	£0.00	£1,191,852.50	£1,010,531	£181,321.63
St Anne's Catholic Primary School	128	132	4	£0.00	£631,796.04	£581,760	£50,035.62
Sacred Heart Catholic Primary School	241	241	0	£1,347.63	£921,345.85	£906,929	£14,416.69
Thorp Academy	834	905	71	£0.00	£4,623,409.94	£4,094,510	£528,900.12
Heworth Grange School	1,027	1,031	4	£0.00	£5,850,048.54	£5,518,906	£331,142.18
Grace College	1,010	1,090	80	£0.00	£6,304,751.37	£5,521,750	£783,001.64
Lord Lawson of Beamish Academy	1,245	1,263	18	£0.00	£7,784,605.19	£7,232,833	£551,772.28
Whickham School	1,307	1,338	31	£0.00	£6,786,865.29	£6,308,582	£478,283.15
Cardinal Hume Catholic School	1,215	1,263	48	£0.00	£6,537,349.20	£6,047,788	£489,561.09
St Thomas More Catholic School	1,217	1,230	13	£0.00	£6,185,280.00	£5,876,263	£309,017.12
							£6,311,900.21
							£242,604.33
					Difference Due to Thomas Hepburn		

Appendix 3

	NFF Unit Values	2019 20 Values	Difference NFF to 2019/20		Proposed 20/21 values	Difference to 2019/20
Basic per pupil Funding						
AWPU						
Primary AWPU	£2,857	£2,817.22	£39.78		2857	£39.78
KS3 AWPU	£4,018	£3,841.81	£176.19		4018	£176.19
KS4 AWPU	£4,561	£4,385.81	£175.19		4561	£175.19
Minimum per pupil						
Primary Minimum Per Pupil funding	£3,750	£3,500.00	£250.00		3750	£250.00
Secondary Minimum Per Pupil funding	£5,000	£4,800.00	£200.00		5000	£200.00
Additional Needs Funding						
Deprivation						
Primary FSM	£450	£440.00	£10.00		450	£10.00
Secondary FSM	£450	£440.00	£10.00		450	£10.00
Primary FSM6	£560	£677.78	-£117.78		580	-£97.78
Secondary FSM6	£815	£1,058.33	-£243.33		900	-£158.33
Primary IDACI F	£210	£200.00	£10.00		210	£10.00
Primary IDACI E	£250	£186.67	£63.33		250	£63.33
Primary IDACI D	£375	£357.28	£17.72		375	£17.72
Primary IDACI C	£405	£395.00	£10.00		405	£10.00
Primary IDACI B	£435	£447.33	-£12.33		435	-£12.33
Primary IDACI A	£600	£676.11	-£76.11		600	-£76.11
Secondary IDACI F	£300	£225.55	£74.45		300	£74.45
Secondary IDACI E	£405	£303.33	£101.67		405	£101.67
Secondary IDACI D	£535	£493.05	£41.95		535	£41.95
Secondary IDACI C	£580	£542.29	£37.71		580	£37.71
Secondary IDACI B	£625	£600.00	£25.00		625	£25.00
Secondary IDACI A	£840	£876.91	-£36.91		840	-£36.91
Low Prior Attainment						
Primary LPA	£1,065	£716.22	£348.78		1065	£348.78
Secondary LPA	£1,610	£1,068.89	£541.11		1610	£541.11
English as an Additional Language						
Primary EAL	£535	£515.00	£20.00		535	£20.00
Secondary EAL	£1,440	£1,385.00	£55.00		1440	£55.00
Mobility						
Primary mobility	£875	£2,000.00	-£1,125.00		1500	-£500.00
Secondary mobility	£1,250	£2,000.00	-£750.00		1500	-£500.00
Lump Sum						
Primary lump sum	£114,400	£112,222.00	£2,178.00		114414	£2,192.00
Secondary lump sum	£114,400	£123,333.00	-£8,933.00		114414	-£8,919.00