

GATESHEAD SCHOOLS FORUM AGENDA

Thursday, 10 January 2019 at 10.00 am in the Dryden Centre

From the Chief Executive, Sheena Ramsey

Item	Business
1	Apologies
2	Minutes (Pages 3 - 10) The Forum is asked to approve as a correct record the minutes of the last meeting held on 6 December 2018.
3	DSG Funding Settlement (Pages 11 - 14) Carole Smith, Corporate Resources
4	School Funding Top Slice Consultation (Pages 15 - 16) Carole Smith, Corporate Resources
5	Mainstream School Funding (additional information to be provided at the meeting) (Pages 17 - 22) Carole Smith, Corporate Resources
6	Growth Funding (Pages 23 - 28) Carole Smith, Corporate Resources
7	Date and Time of Next Meeting Thursday 7 February 2019 at 2.00pm at the Dryden Centre

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GATESHEAD METROPOLITAN BOROUGH COUNCIL

GATESHEAD SCHOOLS FORUM MEETING

Thursday, 6 December 2018

PRESENT	Ken Childs (Special Schools Governor) (Chair)	
	Chris Toon	Gateshead College
	Denise Kilner	Nursery Sector Representative
	Julie Goodfellow	Primary Academy Headteachers
	Steve Haigh	Secondary Academy Headteachers
	Peter Lague	Trade Union Representative
	Mustafaa Malik	Primary Headteachers
	Michelle Richards	Special School Headteachers
	Domenic Volpe	Maintained Secondary Headteachers
	Allan Symons	Primary Governors
	Clive Wisby	Primary Headteachers
	Linda Alder	Lord Lawson Academy
IN ATTENDANCE	Claire Reid	Gateshead Council
	Carole Smith	Gateshead Council
APOLOGIES:	Councillor Chris McHugh Sarah Diggle, Elaine Pickering and Andrew Ramanandi	

36 MINUTES

RESOLVED - That the minutes of the last meeting held on the 27 September 2018 be approved as correct record subject to it being noted that Elaine Pickering had submitted her apologies.

37 DE-DELEGATION EMTAS 2019 /20

The Forum were asked to consider a report on the update on the work delivered by EMTAS since September 2017 in order that they can consider de-delegation for the financial year 2019-20.

The Ethnic Minority and Traveller Achievement Service (EMTAS) offer a range of services to Gateshead schools and settings to develop their capacity to support the educational achievement of all ethnic minority and Traveller pupils.

The service consists of a staff worker (FTE 0.5) who supports educational outcomes for pupils from Gypsy, Roma and Traveller communities. Equality and Diversity staff member (FTE0.2) who supports wider issues including community cohesion, monitoring and responding to reported hate crimes. 3 EAL specialist teachers (FTE 2.0) and 2 bilingual/EAL specialist teachers (FTE 2.0).

Primary maintained mainstream schools have access to the Core Service:

- Assessment of language skills for pupils with EAL
- Advice, guidance and support for pupils with EAL or from GRT communities
- Support for the school EAL lead
- Guidance for whole school EAL audit and development
- Access to whole school training
- Access to advice and training on anti-racism, faith cultural and community cohesion and integration

Academies and special schools can buy into these services and primary schools can access additional support through an SLA.

Mainstream maintained primary schools with more than 20% EAL pupils continue to access weekly visits from EMTAS specialist, alongside the EAL lead they agree on how best to support the school in meeting the needs of their pupils.

The role of EMTAS has evolved over the last year, whilst individual pupils continue to be supported as and when appropriate, many more schools and settings are accessing support and guidance at a whole school level.

- 8 schools have made referrals for 15 individual pupils
- 16 training sessions have been delivered to Teaching Assistants, Teachers and whole staff in 12 settings
- The EAL audit continues to be updated and used to identify development needs, and a new early-years audit tool had been developed and used with 2 settings
- There are currently 75 Gypsy, Roma and Traveller (GRT) pupils in Gateshead who are known to EMTAS and the worker supports pupils in 6 primary schools as well as providing regular site visits
- The drop-in session for secondary age pupils who are home educated is held fortnightly at Dryden Centre and is regularly attended by 5 students
- Three secondary schools and academies have an SLA with EMTAS for weekly visits and two additional secondary settings are accessing the service this academic year. Students performed well in their Heritage exams in the summer; 12 students sat GCSEs in 5 languages and achieved 4A*, 4 A and 4 B grades
- 6 EAL lead training sessions were delivered over the year, through numbers attending were low. Staff continue to find it difficult to attend meetings held at the end of, or during the school day
- Information and resources are regularly uploaded onto the EGHub which all Headteachers and EAL leads have access to

Staff attend the multi-agency Hate Crime meetings and the Fair Access and Pupil Placement Panel meetings.

EMTAS also continue to deliver training and guidance to staff and students at Durham, Northumbria and Newcastle universities and placements for SCITT students.

It is proposed that for EMTAS to continue to provide these services to the schools,

children and young people in Gateshead, funding be de-delegated. The methodology of de-delegation was reviewed for 2018/19 following feedback from primary Headteachers. It is proposed that the same methodology is used for 2019/20.

- RESOLVED - (i) that the work undertaken by EMTAS be noted
(ii) that the de-delegation of funding for EMTAS at the rates of £3.00 for every maintained mainstream primary pupil and £245.00 for each primary maintained EAL pupil were approved

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DE-DELEGATION PRIMARY BEHAVIOUR SUPPORT AND FAIR ACCESS

The Schools Forum received a report to provide an update on existing services (FAP, EP and Primary Behaviour Support) that is currently fund through de-delegation. So that maintained primary schools can consider further de-delegation for the 2019/20 financial year.

Revenue funding arrangements for schools have changed following the school funding review which started in 2013/14. It is permissible for LA's to hold budgets centrally for the provision of some services to schools. This funding was previously centrally held has been delegated to schools on a per pupil basis.

There are, however, some areas that schools have the option for de-delegation.

These are:-

- Contingencies, (including support for schools in financial difficulties, new/closing/amalgamating schools, closing school deficits)
- Behaviour Support Services
- Support for minority ethnic minority pupils or underachieving groups
- Free School Meals (FSM) eligibility
- Insurance
- Library and museum services
- Licences/subscriptions
- Staff costs – supply cover (long term sickness, maternity, trade union and public duties)

For each of these area, it would be for the Schools Forum members in the relevant phase (primary or secondary) to decide whether that service should be retained centrally for maintained schools.

The Primary Behaviour Support Team work with primary schools, mainly, but not exclusively on early intervention in the area of behaviour. At the request of Headteachers in September 2018 a Rapid Response Service was developed to meet the needs of children in 'crisis'. The team consists of 5 Special Needs teachers assistants and 2 teachers. 4 of the teaching 5 of the teaching assistants are on permanent contracts and 1 is on a year fixed term contract. The team work principally with primary aged children in their schools setting offering direct interventions and support with children alongside consultancy for primary

Headteachers and teaching staff. This work includes assessment of pupils' needs, strategies, whole class modelling, small group and individual support and training for staff where this is identified as a need. Referrals to the team continue to rise, in the academic year 2017/18 the team worked with 90 children, which is an increase on the 81 from the previous academic year.

During the 2017/18 academic year 70% of primary schools received input from the team, which is an increase from 67% of schools the previous year.

Staff provide transition support in the summer term for Y6 children to aid in their successful transition to secondary school, all of which to date have made successful transitions into secondary school.

Staff also work alongside the primary Fair Access process in both the referring and receiving schools, supporting managed moves which have enabled moves to be successful.

The teaching assistant who was agreed on a 1-year fixed term contract has a skill set in mental health. She has brought a therapeutic dimension of support into the team.

Every local authority is required to have in place a Fair Access Protocol (Schools Admission Code 2012 article 3.9) developed in partnership and agreed with the majority of its schools, in which all schools (including Academies) must participate since it is binding on all schools.

In 2014 the Schools Forum agreed to de-delegate funds for an Educational Psychologist to work to the primary Fair Access Panel.

In 2017/18 academic year there were 155 referrals to the primary Fair Access Panel which is a steady increase from the 143 referrals made in 2016/17, the 102 children referred in 2015/16 and the 60 children referred in 2014/15.

Over the past two years there has been a steady increase in the need for EP assessment for the children placed by the panel.

Schools forum is asked to approve the de-delegation of funding for 2019/20. Proposed de-delegation values are

- Fair Access Educational Psychologist £5.00 per pupil (Primary only)
- Primary Behaviour Support (5TA's) £4.00 per pupil (Primary only)
- Primary Behaviour Support (5TA's) £26.00 per low prior attainment pupil (Primary only)

RESOLVED - That the de-delegation of funds to continue with the Primary Fair Access Educational Psychologist and the Primary Behaviour Support Team special needs assistants were approved.

A member of the Board declared a prejudicial interest in this item due to the Trade Union Activities he undertakes.

The Forum were asked to consider options to consider for de-delegation so that maintained schools by phases (primary and secondary schools) can consult with their sector on the options.

De-delegation is the process by which Schools Forum can agree for the LA to centrally hold funding for specific purposes.

Revenue funding arrangements for schools changed following the school funding review which commenced in 2013/14. It is now not permissible for LA's to hold budgets centrally for the provision of some services to schools. This previously centrally held funding has been delegated to schools on a per pupil basis.

However there are some areas that schools have the option for de-delegation.

These are:-

- a) Contingencies, (including support for schools in financial difficulties, new/closing/amalgamating schools, closing school deficits and growing schools)
- b) Behaviour Support Services
- c) Support for minority ethnic minority pupils or underachieving groups
- d) Free School Meals (FSM) eligibility
- e) Insurance
- f) Library and museum services
- g) Licences/subscriptions
- h) Staff costs – supply cover (long term sickness, maternity, trade union and public duties)

For each of these areas, it is for the Schools Forum members in the relevant phase (primary or secondary) to decide whether that service should be retained centrally.

The decision will apply to all maintained schools in that phase and will mean that the funding for these services will be removed from the formula before school budgets are issued. There can be different decisions for each phase.

Academies, special schools, the behaviour support service and nursery schools can buy back into these services if they wish to.

Under the current Maternity Credits scheme, schools can claim from the 7th to the 18th week for maternity or adoption leave at a specified daily rate depending on the staff role.

The TUFT credits facilitate the recognised trade union reps undertaking the following duties on behalf of all schools and individual members as required:

- Attendance at LA meetings and briefings re policy decisions
- Attending school-based meetings to resolve staffing issues, facilitate return to

work interviews, capability meetings and disciplinary meetings

It is proposed that for the January submission of the APT the level of de-delegation is maintained for TUFT and maternity credits at the below rates:

- Maternity Credits £8.00 per pupil
- Trade Union Facility Time £4.00 per pupil

RESOLVED: That the schools forum approve the de-delegation at the proposed rates set out.

40 DEDICATED SCHOOLS GRANT - QUARTER 2 MONITORING

The Forum received a report to inform them of the latest monitoring position for Dedicated Schools Grant (DSG) at the end of the quarter 2 2018/19.

The DSG is made up of four funding blocks

- The Early Years Block for 2, 3 and 4 year old funding
- The Mainstream Schools Block which includes some centrally held and de-delegated funding
- High Needs Block which includes special schools, alternative provision and top-ups to maintained schools and academies
- Central Services Schools block which include central functions carried out on behalf of schools, for example, licences, schools' admissions, pension costs.

Schools Forum receives details of DSG revenue monitoring throughout the financial year with the format presented based on the expenditure heading of section 251.

The budget is £99.001m, with a projected outturn of £100.480m which will result in a projected overspend of £1.479m.

Action planning is in place within the Council to attempt to reduce spending on the High Needs Block in the current year. However, it is expected that DSG reserves will be required to meet the final overspend which will require approval from Schools Forum.

At 31 March 2018, DSG reserves totalled £1.156m. The DSG funding settlement update received in July 2018 (to account for the January census for Early Years 17/18 funding) provide for a further £0.644, thus increasing reserves to £1.8m. If the overspend for 2018/19 remains at the current level and reserves are used, the reserve balance will reduce to £0.321m to carry forward into 2019/20, subject to any further decisions made at Schools Forum.

RESOLVED - (i) that the current position be noted
(ii) that the use of DSG reserves to fund the projected overspend in 2018/19 be approved in principle

41 GROWTH FUNDING AND SCHOOLS BLOCK TOP SLICE

The Schools Forum were provided with an update on the estimated Growth Funding

that Gateshead will receive and to approve the proposal to top-slice the Schools Block (SB) of the Dedicated Schools Grant (DSG) to support the High Needs Block (HNB) of the DSG.

As part of the transition to a national funding formula (NFF) the Department for Education (DfE) has changed the methodology for calculating the growth funding factor. Previously this was just part of the overall SB funding allocation and it was a local decision on how much to allocate towards the growth fund and how to distribute this fund to schools. It will still be a local decision on how to distribute growth funding to schools.

The initial estimate provided by the DfE for growth funding for 2019/20 was £35,000 as this was the amount detailed in the 2018/19 authority proforma tool (APT). With the introduction of the new growth funding methodology the DfE has provided local authorities (LAs) with a tool to estimate the amount of growth funding they will receive. The calculation is based on pupil number movements at middle super output area (MSOA) level, and only increases in pupil numbers are used to calculate the estimated funding.

Primary pupil growth has been estimated at 117 pupils and secondary pupil growth at 285. The increase in secondary pupil numbers is inflated by the movement of pupils from Thomas Hepburn to other Gateshead secondary schools.

The estimated amount of growth funding is £398,008. Currently Gateshead has only 2 primary schools eligible for growth funding with an estimated allocation of £35,000 each. This will leave a surplus of growth funding of £328,008.

As the growth funding is outside the primary and secondary units of funding, if the estimated growth funding is allocated through the mainstream formula it has the potential to distort mainstream funding and Gateshead's transition toward the NFF.

With the October pupil numbers an estimate of the SB of the DSG has been undertaken. The estimated SB for 2019/20 including the additional growth funding above requirements is £110,186,670 which is an increase of £1,277,892m. A 0.5% top slice of the SB DSG is estimated at £550,933 which is just £222,925 more than the unrequired growth funding.

It is proposed that the Schools Forum notes the estimated growth fund allocation from the DfE and notes the estimated demands for growth funding, and approves the 0.5% top slice of the SB of the DSG. If Schools Forum approves the top slice then all schools will be consulted on the top-slice proposal.

RESOLVED -

- (i) that the schools forum note the estimated growth fund allocations from the DfE
- (ii) that the schools forum approved the top-slice of the SB to support the HNB for 2019/20

The Forum received a report to provide an update on the work of the Special Schools Funding Review (SSFR) group this financial year. This is a sub-group of schools forum with the specific purpose to review the special schools funding formula. This report builds on the report submitted to the forum in February 2018.

The group comprises of all special head teachers and heads of school with the input and technical support from a range of officers from Corporate Finance, Education Gateshead, Special Educational Needs and Disabilities Service and other officers as required.

The current special school's formula is based on work that was undertaken approximately 10 years ago and had a phased introduction over 3 years from 2009/10. The pupil based elements were based on the relative needs of children over 5 specialities with 4 levels of need in each specialism. The group developed a range of descriptors that described the "typical" pupil in each speciality for each level of need. The original formula has been tweaked several times to conform with changes in funding regulations, school developments and pressures.

For the 2018/19 formula the special categories were reduced from 5 to 3 deleting the categories of Sensory Physical and Medical and Communication and Interaction, leaving Social Emotional and Mental Health (SEMH), Cognition and Learning (C&L) and Autistic Spectrum Disorder (ASD).

The group worked on reviewing the banding descriptors which details the needs and behaviours of pupils within each specialism and each banding. These were re-written, reviewed and agreed by the group.

The next task was to review the staffing requirements of each banding level to determine the levels of relative needs of each pupil. This information is then used to calculate the relative needs of each of the bands. The model that was chosen and agreed by all the special heads is described as the "least worst" option for all schools.

The Forum were asked to note and approve the new banding values as set out in the report for 2019/20 special school funding. The Forum were asked to note that further work will be carried out on nursery age weighted pupil unit allocation and the allocation of fixed costs for each school.

RESOLVED - That the new banding values for use in calculating the 2019/20 special school budgets was approved.

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DATE AND TIME OF NEXT MEETING

The next meeting will take place on Thursday 10 January 2019 at 10am at the Dryden Centre.

Item 3

TITLE OF REPORT: DSG Funding Settlement

Purpose of the Report

To bring to the Schools Forum's attention the information received from the Department for Education (DfE) for the 2019/20 Dedicated Schools Grant (DSG) funding settlement, and the work being carried out to provide schools and early years providers with their budgets for 2019/20.

Background

The DfE issued the 2019/20 funding settlement on 17 December 2018. Gateshead will receive an estimated £148.661m DSG for 2019/20 (before recoupment), which is an increase in funding of £3.452m from the £145.209m received for 2018/19.

The DfE announced allocations for the four main funding blocks of the DSG, which includes estimates for some areas. The four blocks are:

- The Early Years Block - for 2, 3 & 4 year old funding, including Early Years Pupil Premium
- Schools Block – for mainstream schools
- Central Schools Services Block – for retained duties and historic spend
- High Needs Block - which includes special schools, PRU and high needs top-up and place funding

The Early Years Block (EYB)

The way in which the Early Years block is calculated was changed in 2017/18 due to the implementation of the Early Years National Funding Formula (EYNFF). For 2017/18 there was a new hourly rate of £4.53 for Gateshead, which is an equivalent of £4,303.50 per FTE funding. The funding rate for 2019/20 has remained the same. The EYNFF also includes funding for the increase to 30 hours for eligible children (from September 2017), the Maintained Nursery Supplement (MNS) and Early Years Disability Access Funding (EY DAF).

2 year old funding also remains the same as 2017/18, at £5.20 per hour.

The estimated allocation is £13.8m, which is an increase of £1m from the £12.8m received for 2018/19 which is due to an increase in the number of hours children are accessing early education.

The actual funding allocations for 2, 3 and 4 year old funding will be updated in July 2019 following calculations using the January 2019 census.

However, the maintained nursery school supplementary funding which is part of the early years block has reduced from the initial estimate of £180,127 for 2018/19 to £152,972 for 2019/20 which is a reduction of £27,155 for our smallest school in Gateshead. This allocation will be subject to change following data returns to the DfE.

The Central Schools Services Block (CSSB)

CSSB was new for 2018/19 and was created by taking elements that used to be top sliced from the schools block, and transferred them into this new block. The transferred items include contributions to combined budgets, school admissions, Servicing of Schools Forum, Termination of Employment Costs, School Licences and the retained element of what was the Education Services Grant (ESG). The CSSB for 2019/20 is £1.729m which is a reduction of £2,209 from 2018/19 level of £1.731m.

The Schools Block (SB)

2018/19 was the first year of the National Funding Formula at a local authority level for mainstream schools. There are 3 elements used to calculate the overall funding of the Schools Block, the Primary Unit of Funding (PUF), the Secondary Unit of Funding (SUF) and the historical based elements for private finance initiative (PFI) funded schools, premises and mobility factors. New for 2019/20 is a formula for growth funding (report December 2018). The values of these elements are:

- PUF £4,073.76 per pupil, an increase of £37.37 from 2018/19
- SUF £5,066.09 per pupil, an increase of £42.83 from 2018/19
- Premises factors totalling £4,512,569 an increase of £62,980
- New for 2019/20 is growth funding totalling £397,495

The total allocation for 2019/20 is £110,251m (before recoupment), which is an increase of £1.342m from the £108.909m available to schools in 2018/19. The increase in funding is due to the increase in per pupil funding as actual pupil numbers have reduced (69 less primary pupils and 45 additional secondary pupils), totalling £0.881m, the increase in premises factor funding by £0.063m and the new growth funding of £0.397m.

High Needs Block (HNB)

The 2019/20 HNB allocation (before recoupment) is £22.889m, which is an increase of £1.09m from the initial December 2018 settlement and £0.743 increase on the June 2018 updated settlement. On 17 December, Damian Hinds (Secretary of State for Education) announced additional high needs funding for both 2018/19 and 2019/20 of £0.420m for each year. It is understood that this funding is on top of the settlement and if this is correct it will take 2019/20 HNB allocation to £23.309m.

Other Funding Information

The rates per pupil for the pupil premium and pupil premium plus for looked after children 2019/20 will be maintained at their current rates.

The grant for Universal Infant Free School Meals continues at a meal rate of £2.30 for the 2019/20 academic year. Further details will be available in later in the year.

The Primary PE and Sport Premium have not been announced for 2019/20 academic year. Further details will be available later in the year with regard to Gateshead Schools

grant allocations. The DfE will continue to advise schools on sustainable and effective ways to use the premium.

In late December the DfE published the methodology for two new grants.

The first was the Free School Meal Supplementary Grant (which is to provide in year funding for schools that may have to pay for additional FSM due to the introduction of Universal Credit). Allocations will be based on any increase in FSM numbers between October 2017 to October 2018. For each additional FSM child, schools will receive £440. Actual allocations will be paid in 2019 for 2018/19 and the funding will continue for 2019/20.

The second new grant is the Additional Capital Grant that was announced earlier in 2018. It is anticipated that this is a one off capital grant which is linked to Devolved Formula Capital. The DfE have produced a ready reckoner for schools to use as there are a number of different per pupil funding rates using January 2017 census data -:

- Nursery/Primary - £32.10
- Secondary - £48.15
- Post 16 - £64.20
- Special/PRU - £96.30

Allocations also include a lump sum of £3,000 per schools. From the guidance issued it is not clear if the Diocese will receive this funding for voluntary aided or voluntary controlled schools or if all schools will receive the funding directly.

Proposal

That Schools Forum notes the funding available in the individual DSG Blocks before any movement between the blocks. This information enables the individual school budgets to be calculated using the DfE's Authority Proforma Tool (APT) (subject to a later report), and notes the estimated amounts available for the Early Years Block, the Central Schools Services Block, the High Needs Block allocation, and the other grant information provided.

Recommendations

It is recommended that the Schools Forum notes:-

- The estimated Early Years Block
- The Central Schools Services Block
- The Schools Block
- The High Needs Block allocation
- The other grant information

For the following reasons:-

- To enable individual school budgets to be calculated
- To provide DSG and grant funding information

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Item 4

TITLE OF REPORT: Mainstream Schools Funding Consultation Results

Purpose of the Report

To bring to Schools Forum the results from the Mainstream Schools Funding Consultation that was issued to all schools on 7 December 2018 and closed 20 December 2018. This builds on a report from the December 2018 Schools Forum.

Background

Under the Schools and Early Years Finance Regulations (England) and Dedicated Schools Grant Operational Guidance, all schools must be consulted when the local authority is proposing to move funding between the blocks of the Dedicated Schools Grant (DSG). All schools and Schools Forum can only approve movements of up to 0.5%. Amounts over this threshold can only be approved by the Secretary of State.

Consultation

The purpose of this consultation was to seek the views of schools on the proposal to top slice the schools block (SB) by 0.5% to support the overspending high needs block (HNB). The consultation was a simple yes or no vote to the top slicing of the SB. Only 5 responses to the consultation were received. Three schools voted yes to the top slice and 2 schools voted no.

Proposal

It is proposed that Schools Forum notes that 5 responses were received, 3 for the top slice and 2 against. This plus the approval by Schools Forum in December 2018 for the top slice of the SB, it is proposed that the Schools Forum notes Gateshead Council intention to top slice the SB of the DSG to support the HNB.

Recommendations

It is recommended that the Schools Forum notes

- The consultation responses
- Gateshead Council's intention to top slice the SB to support the HNB

For the following reasons:-

- To have the funding available to support the HNB
- To enable mainstream school budgets to be set

CONTACT: Carole Smith Ext. 2747

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Report 5

TITLE OF REPORT: Mainstream Schools Funding

Purpose of the Report

To bring to Schools Forum the proposed Authority Proforma Tool (APT) for submission of Gateshead Schools funding factors and values to the DfE for approval, to enable the calculation of mainstream schools individual school budgets for 2019/20.

Background

This report builds on reports to Schools Forum in December 2018 and previous agenda items.

Gateshead received its Dedicated Schools Grant (DSG) funding settlement on 17 December 2018. Since receipt of the settlement, work has been undertaken to calculate individual mainstream school budgets.

The Schools and Early Years Finance Regulations for 2019 have been issued together with the Schools Revenue Funding 2019/20 – Operational Guidance, updated in December 2018.

The schools block (SB) allocation of funding for 2019/20 is £110,251m which is calculated:

- Primary pupil numbers * Primary Unit of Funding (PUF) 14,931 x £4,073.76 = £60.825m
- Secondary pupil numbers * Secondary Unit of Funding (SUF) 8,787 x £5,066.09 = £44,516m
- Plus £4.512m for premises and mobility factors
- New methodology growth funding for 2019/20 £0.397m

Therefore, the amount available to fund Gateshead mainstream schools in 2019/20 is £110.251m, an increase of £1.342m from 2018/19.

Subject to Item 4, a 0.5% top slice of the SB is £0.551m which reduces the amount to be allocated to mainstream schools to £109.7m

This amount of funding has been used to calculate Gateshead mainstream schools' individual schools' budgets using the APT based on the agreed methodology and formula factors proposed.

A number of inputs and adjustments were made to the APT prior to modelling. These included:-

- The mobile pupil data for Heworth Grange has been amended from 77% to 4% as the data is incorrect due to the conversion to an academy on 1 February 2018.

- Input of estimated rates charges for 2019/20 and adjustments for actual rates charges for 2018/19 in comparison to the amount funded for 2018/19. There have been some swings in rates charges due to revaluations of school premises.
- All schools including voluntary aided and voluntary controlled schools will pay rates, as the rate relief has been reduced from 100% to 80% for these schools.
- The DfE issued the updated rates data for academies.
- The PFI factor was re-calculated to take into account the changes in pupil numbers and updated PFI charges for 2019/20.
- De-delegation has been input as agreed at December's Schools Forum.
- The movement of Thomas Hepburn children has not been accounted for as the movements were not known at the time of modelling. An updated model will be presented at Schools Forum.

Funding Pressures

There are a number of funding pressures for 2019/20. These are:-

- The increase in secondary school pupils
- The decrease in primary pupils
- The increase in PFI funding when only an additional £62,980 was received in premises factors when PFI funding has increased by £167,049 from £2,486,642 in 2018/19 to £2,653,691 for 2019/20.

Data Changes

The APT was received on 14 December; however there was an error in the tool so the DfE retracted the model from the website and reissued it on 17 December. The new APT contains an updated data set for the calculation of the mainstream school budgets, predominantly based on the October 2018 census. The updated data set was checked against last year's APT data to review the changes in data. There were found to be no unexpected changes in data (apart from Heworth Grange mobile pupils).

The Key Stage 2 (KS2) Standard Assessment Tests (SATs) are used as a prior attainment measure for the secondary phase. These tests became more challenging from summer 2016, and in order to compensate for this change, the DfE applied a national weighting of 48.02% to the 2016 summer secondary prior attainment data, a weighting of 58.05% for summer 2017 and 63.59% to summer 18 for secondary prior attainment so that any financial turbulence caused by the change in performance data is minimised.

The Early Years Foundation Stage Profile (EYFSP) changed in 2013 as the changes have now worked themselves through the primary sector a weighting is no longer required.

Mobility factor funding for 2018/19 was £154k based on last year's APT, however the number of mobile pupils (those not starting in September or January for reception children) has fallen, therefore overall funding via this factor reduced to £134k.

Modelling

Due to a different distribution of pupils between the primary and secondary phases, and the updated data set received from DfE, when the mainstream school budgets were calculated using 2018/19 factor values, the formula was not affordable, and there was a

funding short fall of £110k. There was no capping or scaling and minimum funding guarantee (MFG) was at -1.5% with total MFG funding of £192K.

For the second model a number of changes were made. The rates and PFI factors were updated, but the formula remained the same as 2018/19, again without capping or scaling and MFG at -1.5%. This resulted in a small surplus of £2,480 and MFG funding totalling £151,545.

Model three moved to the agreed phased formula, or the same funding rate as 2018/19 where already at or exceeded the phased funding, no capping or scaling, MFG at -1.5% and the new primary and secondary minimum funding rates applied. This resulted in an over allocation of £275,204, MFG funding at £174,658 and funding allocated to meet the minimum funding levels (MFL) of £326,509 and therefore not a viable formula.

Model 4 introduced capping and scaling to try and bring the model into balance. 100% scaling (all gains taken into account except the minimum funding rates gains) was applied and the cap set at 2.9% capping £174,614. This was the maximum cap as the capped funding cannot be greater than the amount of funding distributed by MFG. MFG and MFL were the same as model 3 however the model was still not affordable as the over allocation was £100,589.

Model 5 increased the capped gains to 2.5%, reduced the MFG to -0.89%. This had the effect of capping £191,920, and MFG funding of £260,968. In order to make the model affordable both the primary and secondary lump sums were further reduced from the original phasing. The primary lump sum was reduced from £112,222 to £110,000, and the secondary lump sum from £123,333 to £120,000. This formula is affordable with £406 of schools block DSG unallocated. The resulting Proforma is shown in appendix 1. Comparisons between 2018/19 and proposed 2019/20 are shown in appendix 2 together with pupil movements.

Model 5 does not take into account pupil movements between Thomas Hepburn and the receiving schools and further work will need to be undertaken to model this.

Proposal

That Schools Forum reviews the information and approves model 5 (dependant on the outcome of the work to redistribute Thomas Hepburn pupils).

Recommendations

It is recommended that the Schools Forum reviews the information and approves model 5 (dependant on the outcome of the work to redistribute Thomas Hepburn pupils).

For the following reasons:-

- To enable Gateshead mainstream schools funding to be calculated
- To enable the proforma to be submitted to the DfE within timescale
- To move Gateshead's mainstream schools funding formula towards the NFF.

CONTACT: Carole Smith Ext. 2747

Appendix 1

Local Authority Funding Reform Proforma

LA Name:	Gateshead
LA Number:	390

Total DSG schools block allocation	£110,251,107.91
Total Funding For Schools Block Formula as a percentage of DSG schools block allocation	99.50%
Total funding allocated to schools as a percentage of DSG schools block allocation	99.44%
Total funding allocated to growth and falling rolls as a percentage of DSG schools block allocation	0.06%

Premises costs to exclude from allocation when calculating the minimum funding level	Mobility	Rates	PFI	Split Sites	
	No	Yes	Yes	No	
Primary minimum per pupil funding level	Secondary (KS3 only) minimum per pupil funding level		Secondary (KS4 only) minimum per pupil funding level		Secondary (KS3 and KS4) minimum per pupil funding level
£3,500.00					£4,800.00

Pupil Led Factors

Description	Reception uplift	No	Pupil Units		0.00		Total	Proportion of total pre MFG funding (%)	Notional SEN (%)	
	Description	Amount per pupil	Pupil Units		Sub Total				Primary Notional SEN (%)	Secondary Notional SEN (%)
1) Basic Entitlement Age Weighted Pupil Unit (AWPU)	Primary (Years R-6)	£2,817.22	14,931.00		£42,063,912		£77,610,058	38.39%	5.00%	
	Key Stage 3 (Years 7-9)	£3,841.81	5,508.00		£21,160,689			19.31%	5.00%	
	Key Stage 4 (Years 10-11)	£4,385.81	3,280.00		£14,385,457			13.13%	5.00%	
2) Deprivation	FSM	£440.00	£440.00	2,948.00	1,524.00	£1,967,680	£12,450,013	11.36%	23.00%	19.00%
	FSM6	£677.78	£1,058.33	4,255.72	2,858.69	£5,909,878			23.00%	19.00%
	IDACI Band F	£200.00	£225.55	1,790.94	1,040.32	£592,833			0.00%	0.00%
	IDACI Band E	£186.67	£303.33	1,758.13	970.22	£622,487			0.00%	0.00%
	IDACI Band D	£357.28	£493.05	2,047.37	1,188.11	£1,317,282			0.00%	0.00%
	IDACI Band C	£395.00	£542.29	565.33	318.52	£396,037			0.00%	0.00%
	IDACI Band B	£447.33	£600.00	1,118.18	584.50	£850,899			23.00%	19.00%
	IDACI Band A	£676.11	£876.91	711.34	355.76	£792,916	23.00%	19.00%		
3) Looked After Children (LAC)	LAC X March 17	£500.00		190.33		£95,166	£817,919	0.09%	0.00%	
4) English as an Additional Language (EAL)	EAL 3 Primary	£515.00		821.14		£422,885		0.54%	0.00%	
	EAL 3 Secondary		£1,385.00		119.33	£165,268			0.00%	
5) Mobility	Pupils starting school outside of normal entry dates	£2,000.00	£2,000.00	67.30	0.00	£134,600	0.12%	0.00%	0.00%	
6) Prior attainment	Primary Low Attainment		£716.22	37.91%	5,659.71	£4,053,601	£5,694,762	5.20%	100.00%	
	Secondary low attainment (year 7)	63.59%		18.69%						
	Secondary low attainment (year 8)	58.05%	£1,068.89	17.68%						
	Secondary low attainment (year 9)	48.02%		18.31%	1,535.39	£1,641,162				100.00%
	Secondary low attainment (years 10 to 11)			16.20%						

Other Factors

Factor	Lump Sum per Primary School (£)	Lump Sum per Secondary School (£)	Lump Sum per Middle School (£)	Lump Sum per All-through School (£)	Total (£)	Proportion of total pre MFG funding (%)	Notional SEN (%)		
7) Lump Sum	£110,000.00	£120,000.00			£8,450,000	7.71%	0.00%	0.00%	
8) Sparsity factor					£0	0.00%	0.00%	0.00%	
Please provide alternative distance and pupil number thresholds for the sparsity factor below. Please leave blank if you want to use the default thresholds. Also specify whether you want to use a tapered lump sum or the NFF weighting for any of the phases.									
Primary distance threshold (miles)		Primary pupil number average year group threshold		Fixed, tapered or NFF sparsity primary lump sum?	Fixed				
Secondary distance threshold (miles)		Secondary pupil number average year group threshold		Fixed, tapered or NFF sparsity secondary lump sum?	Fixed				
Middle schools distance threshold (miles)		Middle school pupil number average year group threshold		Fixed, tapered or NFF sparsity middle school lump sum?	Fixed				
All-through schools distance threshold (miles)		All-through pupil number average year group threshold		Fixed, tapered or NFF sparsity all-through lump sum?	Fixed				
9) Fringe Payments					£0	0.00%			
10) Split Sites					£0	0.00%		0.00%	
11) Rates					£1,548,557	1.41%		0.00%	
12) PFI funding					£2,653,691	2.42%		0.00%	
13) Exceptional circumstances (can only be used with prior agreement of ESFA)									
Circumstance					Total (£)	Proportion of total pre MFG funding (%)	Notional SEN (%)		
Additional lump sum for schools amalgamated during FY18-19					£0	0.00%	0.00%	0.00%	
Additional sparsity lump sum for small schools					£0	0.00%		0.00%	
Exceptional Circumstance3					£0	0.00%		0.00%	
Exceptional Circumstance4					£0	0.00%		0.00%	
Exceptional Circumstance5					£0	0.00%		0.00%	
Exceptional Circumstance6					£0	0.00%		0.00%	
Exceptional Circumstance7					£0	0.00%		0.00%	
Total Funding for Schools Block Formula (excluding minimum per pupil funding level, funding floor protection and MFG Funding Total)					£109,225,001	99.69%			
14) Additional funding to meet minimum per pupil funding level					£335,398	0.31%			
Total Funding for Schools Block Formula (excluding funding floor protection and MFG Funding Total)					£109,560,399	100.00%			
15) Funding floor protection (select Yes if applying this protection)		No			£0	0.00%			
Total Funding for Schools Block Formula (excluding MFG Funding Total)					£109,560,399				
16) Minimum Funding Guarantee		-0.89%			£260,968				
Apply capping and scaling factors? (gains may be capped above a specific ceiling and/or scaled)					Yes				
Apply alternative gains cap for schools gaining more than 15%?					No				
Capping Factor (%)	2.50%	Scaling Factor (%)	100.00%						
Total deduction if capping and scaling factors are applied					-£191,920				
MFG Net Total Funding (MFG + deduction from capping and scaling)					£69,047	0.06%			
Total Funding for Schools Block Formula					£109,629,446		£11,590,834		
High Needs threshold (only fill in if, exceptionally, a high needs threshold different from £6,000 has been approved)					£0.00				
Additional funding from the high needs budget					£0.00				
Growth fund (if applicable)					£70,000.00				
Falling rolls fund (if applicable)					£0.00				
Other Adjustment to 18-19 Budget Shares					£0				
Total Funding For Schools Block Formula (including growth and falling rolls funding)					£109,699,446				
% Distributed through Basic Entitlement					70.84%				
% Pupil Led Funding					88.15%				
Primary: Secondary Ratio					1 :	1.27			

Appendix 2

School Name	2018/19	New Formula	Change	Oct-18 NOR	Oct-17 NOR	Change
Total	£108,908,753	£109,629,446	£720,693	23719	23742	-23
Carr Hill Community Primary School	£1,378,512	£1,391,566	£13,054	297	303	-6
Kelvin Grove Community Primary School	£1,349,860	£1,414,132	£64,272	309	300	9
South Street Community Primary School	£1,222,053	£1,176,253	-£45,800	250	259	-9
Bede Community Primary School	£982,357	£977,678	-£4,679	186	187	-1
Oakfield Junior School	£846,807	£887,914	£41,106	239	235	4
Larkspur Community Primary School	£725,930	£593,177	-£132,753	106	139	-33
Oakfield Infant School	£670,409	£685,565	£15,156	180	180	0
Ravensworth Terrace Primary School	£1,078,822	£1,160,295	£81,473	309	281	28
Portobello Primary School	£771,804	£785,136	£13,332	200	202	-2
Birtley East Community Primary School	£953,201	£988,604	£35,403	217	213	4
Dunston Hill Community Primary School	£1,670,675	£1,664,880	-£5,795	384	391	-7
Emmaville Primary School	£1,172,638	£1,247,544	£74,906	351	330	21
High Spen Primary School	£707,148	£705,253	-£1,895	163	168	-5
Swalwell Primary School	£814,083	£760,250	-£53,833	155	169	-14
Winlaton West Lane Community Primary	£1,275,959	£1,334,842	£58,883	347	340	7
Greenside Primary School	£781,251	£771,152	-£10,099	181	183	-2
Blaydon West Primary School	£656,569	£747,950	£91,381	164	139	25
Front Street Community Primary School	£1,523,832	£1,506,340	-£17,492	408	408	0
Highfield Community Primary School	£633,432	£637,051	£3,619	114	110	4
Ryton Community Infant School	£451,834	£389,219	-£62,614	83	104	-21
Ryton Junior School	£663,814	£659,807	-£4,007	164	172	-8
Washingwell Community Primary School	£637,091	£560,292	-£76,800	125	153	-28
Bill Quay Primary School	£818,919	£830,993	£12,074	214	213	1
Falla Park Community Primary School	£1,034,941	£977,247	-£57,694	206	223	-17
Brandling Primary School	£763,255	£821,783	£58,528	160	149	11
Lingey House Primary School	£1,586,369	£1,552,394	-£33,976	397	398	-1
The Drive Community Primary School	£822,304	£789,551	-£32,753	173	183	-10
White Mere Community Primary School	£558,396	£593,461	£35,066	143	137	6
Clover Hill Community Primary School	£760,223	£767,519	£7,296	206	207	-1
Crookhill Community Primary School	£759,732	£793,041	£33,309	192	186	6
Brighton Avenue Primary School	£1,366,142	£1,392,977	£26,835	299	291	8
Lobley Hill Primary School	£1,487,078	£1,493,218	£6,140	387	392	-5
Wardley Primary School	£1,091,923	£1,071,572	-£20,351	265	279	-14
Glynwood Community Primary School	£1,437,294	£1,616,429	£179,135	405	362	43
Barley Mow Primary School	£782,839	£759,277	-£23,562	164	168	-4
Windy Nook Primary School	£1,109,766	£1,083,688	-£26,078	279	293	-14
Colegate Community Primary School	£735,810	£749,019	£13,208	165	166	-1
Roman Road Primary School	£809,848	£821,748	£11,900	176	175	1
Fellside Community Primary School	£755,770	£772,027	£16,258	210	209	1
Fell Dyke Community Primary School	£1,308,036	£1,314,465	£6,429	274	276	-2
Caedmon Community Primary School	£924,282	£958,924	£34,643	206	205	1
Kells Lane Primary School	£1,398,501	£1,477,095	£78,594	415	418	-3
Chopwell Primary School	£968,889	£886,584	-£82,304	191	215	-24
Parkhead Community Primary School	£1,300,112	£1,297,704	-£2,407	318	327	-9
St Aidan's Church of England Primary Sch	£914,632	£894,252	-£20,380	195	202	-7
Harlow Green Community Primary School	£1,739,641	£1,720,266	-£19,375	377	387	-10
Rowlands Gill Community Primary School	£1,328,862	£1,195,689	-£133,173	245	277	-32
Whickham Parochial Church of England P	£755,420	£761,052	£5,632	209	210	-1
Corpus Christi Catholic Primary School	£853,443	£862,801	£9,359	201	200	1
St Joseph's Roman Catholic Voluntary Aid	£842,324	£838,164	-£4,160	193	198	-5
St Oswald's Roman Catholic Voluntary Aid	£816,966	£865,775	£48,809	198	188	10
St Peter's Roman Catholic Voluntary Aide	£766,500	£777,025	£10,525	211	210	1
St Anne's Catholic Primary School	£566,421	£579,687	£13,265	128	127	1
St Joseph's Catholic Junior School, Birtley	£508,752	£517,345	£8,593	115	115	0
St Joseph's Catholic Infant School, Birtley	£398,094	£362,651	-£35,443	73	82	-9
St Agnes' Catholic Primary School	£731,129	£732,106	£977	200	199	1
St Joseph's Roman Catholic Voluntary Aid	£477,139	£478,731	£1,592	114	112	2
St Mary and St Thomas Aquinas Catholic	£765,195	£776,771	£11,576	215	213	2
St Philip Neri Roman Catholic Primary Sc	£748,604	£737,169	-£11,434	174	181	-7
St Joseph's Catholic Primary School, Blay	£793,690	£805,985	£12,295	210	210	0
St Mary's Roman Catholic Primary School	£747,631	£772,304	£24,673	210	205	5
St Alban's Catholic Primary School	£803,724	£822,302	£18,578	209	208	1
St Augustine's Catholic Primary School	£1,180,291	£1,213,920	£33,630	310	308	2
St Wilfrid's Roman Catholic Voluntary Aide	£614,230	£633,994	£19,764	122	117	5
Kingsmeadow Community Comprehensive	£4,579,324	£5,054,881	£475,557	739	646	93
Kibblesworth Academy	£682,642	£673,447	-£9,195	164	166	-2
Riverside Primary Academy	£989,095	£1,007,986	£18,891	240	239	1
Sacred Heart Catholic Primary School	£790,137	£904,660	£114,523	241	208	33
Thomas Hepburn Community Academy	£2,582,258	£1,275,243	-£1,307,014	194	413	-219
Thorp Academy	£3,806,529	£4,065,741	£259,212	834	774	60
Heworth Grange Comprehensive School	£5,613,693	£5,494,416	-£119,277	1,027	1022	5
Lord Lawson of Beamish Academy	£7,218,539	£7,231,916	£13,377	1,245	1263	-18
Whickham School	£6,086,297	£6,307,889	£221,592	1,307	1279	28
Joseph Swan Academy	£5,032,919	£5,514,683	£481,765	1,010	923	87
Cardinal Hume Catholic School	£5,986,779	£6,008,190	£21,411	1,215	1204	11
St Thomas More Catholic School	£5,639,342	£5,876,784	£237,441	1,217	1218	-1

Heworth Grange £110,555 is a reduction in rates funding on conversion to academy

Item 6

TITLE OF REPORT: Growth Fund Allocation

Purpose of the Report

To inform Schools Forum that there has been a successful application for Growth Funding which has satisfied all the growth fund criteria previously approved by Schools Forum.

Background

In December 2014 Schools Forum approved the creation and the criteria of a Growth Fund for mainstream schools (appendix 1). Schools Forum agreed to centrally hold £100,000 of the Dedicated Schools Grant for a Growth Fund as a permitted centrally retained service in accordance with Schools and Early Years Finance Regulations 2017 and the Education Funding Agency Schools Revenue Funding 2018 -19 Operational Guide.

The criteria for the Growth Fund have been checked and passed for compliance by the Department for Education.

Emmaville Primary School

Emmaville Primary School made an application to the Growth Fund for funding for pupil growth from September 2018.

The school is in the process of being extended as a 2 form entry school due to basic need in the Crawcrook area, and as such the schools Planned Admissions Number (PAN) has been increased from 45 to 60 from September 2016.

The October 18 census had an additional 21 children more than October 2017 (which is used for funding financial year 2019/20) and the school meets all of the Growth Fund criteria.

The Schools Budget Team have supported the school in applying for funding. School Admissions and Council Housing, Design and Technical Services had input and confirmed the school's eligibility for funding. The Service Director, Learning and Schools has approved the allocation of funding.

In accordance with the Growth Funding criteria, the allocation is calculated as Age Weighted Pupil Unit * pupil numbers * 7/12

$£2,852.33 * 21 \text{ pupils} * 7/12 = £34,941.04$

This amount is under the maximum allocation permitted for primary schools of £35,000 and, therefore, the amount of £34,941.04 will be allocated to Emmaville Primary School.

Proposal

That Schools Forum note the application for Growth Funding by Emmaville Primary School and the allocation of £34,941.04 for additional pupils from September 2018.

Recommendations

It is recommended that the Schools Forum notes that funding has been awarded to Emmaville Primary School for growth in pupil numbers.

For the following reason:-

- To provide funding for increased pupil numbers from September 2018
-

CONTACT: Carole Smith ext. 2747

Appendix 1

GROWTH FUND PROCEDURE

INTRODUCTION

GROWTH FUND INTRODUCTION

Local authorities may top-slice the DSG in order to create a Growth Fund to support schools which are required to provide extra places in order to meet basic need within the authority, including pre-opening and reorganisation costs. The growth fund may not be used to support schools in financial difficulty. As the growth fund is a top slice of the schools block it is only available for pupils aged 5-15 in mainstream schools. It cannot be used to support growth for under-5 or post-16 pupils.

The growth fund will be ring-fenced so that it is only used for the purposes of supporting growth in pupil numbers to meet basic need for the benefit of both mainstream maintained schools and Academies. Any funds remaining at the end of the financial year must be added to the following year's DSG and reallocated to maintained schools and academies through the local formula.

Any growth or expansion due to parental preference will not be eligible to be funded from the growth fund, i.e. if pupils could be accommodated in another primary school within a 2 mile radius of the growing primary school, secondary schools to be reviewed on a case by case basis.

GATESHEAD CRITERIA FOR ACCESSING GROWTH FUNDING 2014-15

A growing school is defined as:-

- A school where there is a planned increase in Planned Admission Number (PAN), and which has not had the full set of admission in-take. For example, an increase in PAN in September 2010 may still be having an impact in 2015/16.
- A school where the capacity has been increased, with planned expenditure on buildings, which has been agreed by the Local Authority.
- A school where an increase in pupil numbers has been agreed with, and specified by, the Local Authority as a consequence of a delay in the opening of a new school or implementation of a capital programme that would have increased the size of a neighbouring school.
- A school/academy carries out a formal consultation at either the request of the Local Authority or supported by the Local Authority

PREDICTED OR ACTUAL GROWTH

Where the predicted numbers for a Primary School (excluding nursery classes) for the following September show an increase of more than 16 pupils or 10% of their total roll, due to basic need, that requires the running of an additional class, schools may be able to access additional funding.

Where the predicted numbers for a Secondary School for the following September show an increase of more than 40 pupils or 8% of their total roll (excluding Post-16), due to basic need, that requires the running of additional class, they may be able to access additional funding.

FUNDING FOR GROWTH

When applying for growth funding, schools will be required to provide evidence that an additional class or tutor group would be required to meet increasing numbers. (Views will also be sought from appropriate Education Gateshead officers)

Allocations will be calculated per additional pupil using the applicable AWPU rates for Primary, Secondary KS3 and Secondary KS4 pupils.

Amounts payable to maintained schools will be pro-rata for the 7/12th period September to March. Amounts payable to academies will be for the full academic year as academy budgets run from September to August.

An upper threshold will also be applied so no primary school can receive more than £35,000 and no Secondary schools more than £70,000 for the 7/12th period September to March and no Primary academy can receive more than £60,000 and no Secondary academy more than £120,000 for the full academic year.

Initial growth funding allocations would be based on admissions data and demographic forecasts to aid schools with budget setting (May/June). Where there is uncertainty or disagreement around the predicted pupil numbers, funding will not be allocated until receipt of the actual October census data.

The LA will undertake a mid-year review, based on the October Census, but no additional funding would be allocated to schools where funding had already been agreed unless actual growth was at such a level that significant additional costs had been incurred. In instances where schools had not qualified for additional funding based on the original estimates, additional funding would only be allocated if the school could demonstrate additional costs had been incurred to support the additional pupils.

In instances where actual growth was at lower levels than original estimates schools will not be subject to claw-back on any funding already allocated.

ADDITIONAL CLASSES AND/OR FORMS OF ENTRY

In instances where the LA has specifically requested a school to expand to take an additional class to create capacity, but the numbers do not meet the thresholds above schools may be able to claim additional funding. The funding will only be payable if the school is unable to reorganise its class teaching structure to meet this request. In Primary schools this may result in mixed year teaching, where numbers dictate and this is seen as the most prudent option for the organisation of the school as a whole.

These instances will be reviewed on a case-by-case basis, and funding for additional classes or forms of entry will be funded at the following rates:

Primary £48,000
Secondary £85,000

Amounts payable to maintained schools will be pro-rata for the 7/12th period September to March (£28,000 and £49,583 respectively). Amounts payable to academies will be for the full academic year, as academy budgets run from September to August. Once agreed these amounts are guaranteed irrespective of actual pupil numbers to allow schools to staff appropriately.

OTHER CONSIDERATIONS

Any school with a revenue balance deemed as excessive would not be permitted to claim the full value of the additional growth funding, (currently defined as 16% (of ISB) or £20,000 for Primary and 10% (of ISB) for Secondary.) These instances will be reviewed on a case by case basis.

Given that the revised funding formula will allocate an equal lump sum to all schools regardless of size, no further additional funding will be provided to support any changes in leadership structure.

All mainstream schools funding is only guaranteed for the financial year to which it relates, future years funding will be assessed on an annual basis.

AMENDMENTS

It is possible to amend the criteria for allocating growth funding during the year where this becomes necessary, however the revised criteria must be submitted to the EFA for compliance checking and must also be approved by Schools Forum before the revised criteria can be implemented.

Appendix A – Examples

Primary School A - Growth Funding Example

October Census 2014 195 pupils

Predicted September 2015 Numbers (Based on Admissions data and Demographic Forecasts) 216 pupils

Increase 21 pupils

Increase % 10.8%

Growth Funding Allocation per Pupil £2,905

Estimated Additional Funding (7/12ths- Sept 14 to Mar 15)

£35,586, therefore would be funded at £35,000

Primary Academy B - Growth Funding Example

October Census 2014 225 pupils

September 2015 Numbers (Based on Admissions data and Demographic Forecasts) 251 pupils

Increase 26 pupils

Increase % 11.5%

Growth Funding Allocation per Pupil

£2,905

Additional Funding (Full academic year) £75,530, therefore will fund at £70,000.

Primary School C - Growth Funding Example – Additional Reception Class

School is requested to operate an additional Reception class from September 2015.

School would be guaranteed funding of £48,000 for a full academic year, for 7/12ths September 15 to March 16 = £28,000*

Maintained Schools funding from April 16 would be based on October 15 census so no additional growth funding will be allocated for this period.

*An academy in the same situation would be guaranteed funding for the full academic year = £48,000.

Secondary Academy D - Growth Funding Example

October Census 2014 1,374 pupils

October 2014 Numbers (Based on Admissions data and Demographer's Forecasts)

1,415 pupils

Increase 41 pupils

Increase % 3%

Increase in KS3 31

Increase in KS 4 10

Growth Funding Allocation per KS3 Pupil £3,610 = £111,910

Growth Funding Allocation per KS4 Pupil £4,360 = £43,600

Additional Funding (Full academic year)

£155,510

Capped to overall limit

£120,000

Secondary Academy E - Growth Funding Example – Additional FE

School is requested to operate an additional Year 7 FE from September 2015.

School would be guaranteed funding of £85,000 for the academic year September 2015 to August 2016.